



60246-336;10884  
762

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Van Ostrand

Group Art Unit: 2124

Serial No.: 10/824,098

Examiner: Gandhi, Jayprakash N.

Filing Date: April 14, 2004

For: FAILURE MODE FOR HVAC SYSTEM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

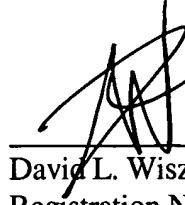
PTO form 1449 is submitted herewith pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above-identified application. None of these references discloses the invention claimed in the subject application. Also enclosed is the PCT International Search Report.

Pursuant to 37 C.F.R. 1.97(e)(1), each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. Applicant respectfully requests the Examiner to consider the enclosed references on PTO-1449 submitted herewith.

Should there be a fee associated with this document, please charge it to Deposit Account No. 50-1482, in the name of Carlson, Gaskey & Olds, P.C.

Respectfully submitted,

CARLSON, GASKEY & OLDS, P.C.



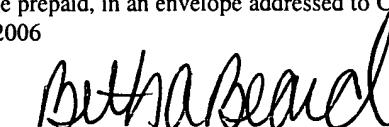
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Dated: September 8, 2006

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that the attached Supplemental IDS, References Cited, Form PTO-1449 and Search Report are being deposited with the U.S. Postal Service as First Class Mailing, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2006



Beth A. Beard



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

<b><i>Complete if Known</i></b>	
Application Number	10/824,098
Filing Date	4/14/2004
First Named Inventor	Van Ostrand
Art Unit	2125
Examiner Name	Gandhi, Jayprakash N
Attorney Docket Number	60246-336;10884

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/824,098
		Filing Date	4/14/2004
		First Named Inventor	Van Ostrand
		Group Art Unit	2125
		Examiner Name	Gandhi, Jayprakash N
		Attorney Docket Number	60246-336;10884
Sheet	2	of	2

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**1 Unique citation designation number.** **2** Applicant is to place a check mark here if English language Translation is attached.

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